

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 29827/40662
INTERNATIONAL APPLICATION NO. PCT/EP03/06054		INTERNATIONAL FILING DATE 10 June 2003
U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/516698		PRIORITY DATE CLAIMED 11 June 2002
TITLE OF INVENTION (METH)ACRYLIC ESTERS OF POLYALKOXYLATED TRIMETHYLOLPROPANE		
APPLICANT(S) FOR DO/EO/US Andreas Popp et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return receipt postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/516698</div>	INTERNATIONAL APPLICATION NO. PCT/EP03/06054	ATTORNEY'S DOCKET NUMBER 29827/40662
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).	<div style="text-align: center;">CALCULATIONS PTO USE ONLY</div> <div style="text-align: right;">\$ 950.00</div> <div style="text-align: right;">\$</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">27-20 =</td> <td style="text-align: center;">7</td> <td style="text-align: center;">x 18.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">3-3 =</td> <td></td> <td style="text-align: center;">x</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td> <td style="text-align: center;">+</td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$ 1,076.00</td> </tr> </table> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	27-20 =	7	x 18.00	Independent claims	3-3 =		x	MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	TOTAL OF ABOVE CALCULATIONS =			\$ 1,076.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																		
Total claims	27-20 =	7	x 18.00																		
Independent claims	3-3 =		x																		
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+																		
TOTAL OF ABOVE CALCULATIONS =			\$ 1,076.00																		
SUBTOTAL =	\$ 1,076.00																				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).	\$																				
TOTAL NATIONAL FEE =	\$ 1,076.00																				
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	\$																				
TOTAL FEES ENCLOSED =	\$ 1,076.00																				
	Amount to be refunded: \$																				
	Amount to be charged: \$																				

a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,076.00 to cover the above fees is enclosed.	
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.	
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855. A duplicate copy of this sheet is enclosed.	
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive
 (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: James J. Napoli MARSHALL, GERSTEIN & BORUN LLP 233 S. Wacker Drive, Suite 6300 Sears Tower Chicago, Illinois 60606-6357 (312) 474-6300 CUSTOMER NUMBER: 04743	<div style="text-align: center;"> SIGNATURE: </div> <div style="text-align: center;"> James J. Napoli NAME </div> <div style="text-align: center;"> 32,361 REGISTRATION NUMBER </div>
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Application No. (if known): Not Yet Assigned

Attorney Docket No.: 29827/40662

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 532461815 US in an envelope addressed to:

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on December 1, 2004
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Registration Number, if applicable(312) 474-6300

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages)
Check in the amount of \$1,076.00
Copy of International Publication
Copy of English translation of application
Declaration, Power of Attorney and Petition
Preliminary Amendment
Return Receipt Postcard